

Atty. Dkt. No. 062834-0135

2161#8  
14  
9-27-02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: George H. HOFFMAN, et al.

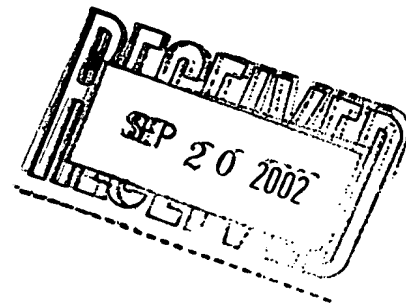
Title: SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR  
A BOOK SUPPLY CHAIN MANAGEMENT FRAMEWORK

Appl. No.: 09/816,349

Filing Date: 03/23/2001

Examiner: Unassigned

Art Unit: 2161



**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
Washington, D.C. 20231

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SEP 18 2002  
**GROUP 3600**

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits, and within three (3) months of the mailing date of the foreign search report.

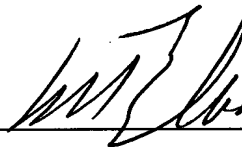
**RELEVANCE OF EACH DOCUMENT**

The foregoing documents were cited in the International Search Report in Applicants' International Application PCT/US02/08287. A copy of the International Search Report setting forth the portion of each reference considered relevant by the examiner is attached. ✓

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

By



William T. Ellis  
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Date September 13, 2002

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Customer Number: 22428



22428

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SEP 13 2002

Substitute for form 1449B/PTO

## Date Submitted:

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**Complete if Known**

<b>Application Number</b>	09/816,349
<b>Filing Date</b>	03/23/2001
<b>First Named Inventor</b>	George H. HOFFMAN et al.
<b>Group Art Unit</b>	2161
<b>Examiner Name</b>	Unassigned
<b>Attorney Docket Number</b>	062834-0135

**U.S. PATENT DOCUMENTS**

[illegible]

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## FOREIGN PATENT DOCUMENTS

[illegible]

SEP 20 2002

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, D.C. 20231.